

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hitoshi Katoh et al.

Serial No.: 09/960,420

Filed: September 21, 2001

For: Method and System for Providing Information Recording
Service, and Recording Medium and Computer Program Product Therefor



: Atty. Docket: JP920000308US1

: Confirmation No.: 5565

: Art Unit: 2673

: Examiner:

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☐ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☒ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this Box is checked, the fee box and one of the last two boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

Serial Number: 09/960,420

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE

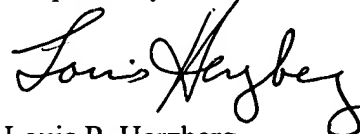
- [] Charge our Deposit Account No. 09-0468 the amount of \$180.00, in payment of the fee set forth in 37 CFR § 1.17(p).

CERTIFICATION

- [] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

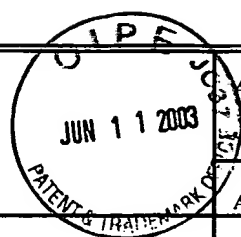


Louis P. Herzberg
IBM Corp. IP Law Dept.
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Yorktown Heights, NY 10598
Telephone: 914-945-2885

Date: June 9, 2003

Serial Number: 09/960,420

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

ATTY. DOCKET NO.

JP920000308US1

SERIAL NO. 09/960,420

APPLICANT: Hitoshi Katoh et al.

(Use several sheets if necessary)

FILING DATE: 09/21/2001

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	PUPA 09-166450	06/24/1997	Japan			X	
	AM	PUPA 11-242600	09/07/1999	Japan			X	
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	Tadao Shibata, "Having too much of fantasies? On-demand publication entries person", Printing information, Printing & Publishing Institute, February 1(2000), Vol. 60, No. 2, p. 18-27.
	AR	
	AS	
	AT	
	AU	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.